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Atty. Docket No. FR920030061US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: D'Alo, S. et al.

Serial No.:

11/006,852

Filed:

December 08, 2004

For:

Adaptive Management Method with Workflow Control

Art Unit:

2131

Mail Stop Missing Parts
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22315-1450



CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being facsimile transmitted to the U. S. Patent and Trademark Office at 703-746-4060 on the date shown below: (7 pages)

- 1. Transmittal of Missing Parts (in duplicate)
- 2. Notice to file Missing Parts of Nonprovisional Application (2 pages)
- 3. Declaration and Power of Attorney (3 pages)

Date: January 18, 2005

Saundra S. Christopher

919-543-5845

(Name of person signing certification)

Saundra S. Christopher

IBM DOCKET NO. FR920030061US1

PATENT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of D'Alo, S. et al.

: January 18, 2005 : IBM Corp. - T81/B503

Serial No. 11/006,852 : PO Box 12195
Filed: December 08, 2004 : Res. Tri. Park,
For: Adaptive Management : North Carolina 27709

Method with Workflow Control : Group Art Unit: 2131



TRANSMITTAL OF MISSING PARTS

Mail Stop Missing Parts Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application" mailed January 11, 2005 enclosed please find fully executed Declaration and Power of Attorney.

Please charge the \$130.00 fee to deposit account 09-0461. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

DRI/ssc Enclosures

Phone: 919-543-7810 Fax: 919-254-4330

Customer No. 25259

David R. Irvin Patent Agent of Record Reg. No. 42,682



David R. Irvin

United States Patent and Trademark Office

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

11/006,852

12/08/2004

Salvatore D'Alo

FR920030061US1

Date Mailed: 01/11/2005

CONFIRMATION NO. 6913

FORMALITIES LETTER

OC000000014927043

International Business Machines Intellectual Property Law, Dept. T81/Bldg. 503 PO Box 12195

Research Triangle Park, NC 27709



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

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P.O. Box 1450

Alexandria VA 22313-1450

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A copy of this notice MUST be returned with the reply.

Page 2 of 2

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